

Optimal search for binary skew-symmetric sequences with minimal levels of side lobes

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Signal-code constructions with modulating binary sequences are widely used in multichannel radiocommunication systems, radar, and other information systems. Among these sequences, there are those that provide the minimum levels of side lobes of the aperiodic autocorrelation function and, accordingly, the required secrecy, noise immunity, resolution, and other important characteristics and parameters. The paper describes an alternative approach for solving optimization task that involves a complete full search for the optimal binary skew-symmetric sequences with odd dimension l using a criterion of minimum side lobes of the aperiodic autocorrelation function. The proposed method based on performing two consecutive steps: optimizing in the space of dimension $L < 0.5(l - 5)$ of the objective functions with respect to the levels of side lobes of the aperiodic autocorrelation function and solving of an equation system which specifies the aperiodic autocorrelation function. The right sides of the equation system present the levels of the side lobes that are obtained as the result of completing the first operation. The developed methodology includes an analysis of the structure of sets of binary sequences; finding correlations between the structural components using the methods of group theory; establishing analytical forms that define the functional relationships between the levels of side lobes of the aperiodic autocorrelation function. The article presents an example of application and results of modeling of the offered algorithm to identify optimal binary sequences.

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1. Introduction

The practice of using binary sequences (BS) as modulating functions in radiocommunication, radar, sonar is well known [1–7]. The structure of such BS differs from others because they have minimum levels of side lobes (LSL) of the aperiodic autocorrelation function (AACF). It allows obtaining high rates of secrecy, noise immunity and resolution in these systems. However, the further development of information technology leads to emerging new scientific and technical problems, in particular, the creation of multi-channel noise-proof radio systems for data transmission [8] requires more advanced structures of the BS binary sequences with increased dimension l and the possible minimum of LSL of the aperiodic autocorrelation function.

AACF $A_k(a)$ for BS $\{a\}$ is defined as follows [9, 10]

$$\{a\} = \{a_1, a_2, \dots, a_l\}; \quad a_i \in [-1; 1], \quad i \in \{1, \dots, l\}, \quad (1)$$

$$A_k(a) = \sum_{i=1}^k a_i a_{l-k+i}; \quad k \in [1, 2, \dots, l], \quad l = 4m - 1; \quad m \in \{N\}. \quad (2)$$

where N is a set of natural numbers.

Initially, the search for optimal structures of BS was described in the articles [11–13]. Later in the paper [14] it has been proved that there is no BS with properties of Barker codes for $13 < l < 10^{22}$. In the article [9] the most significant scientific achievements in this field were summarized and the directions of further researches were defined. In particular, it pertains to the search of BS with the minimum levels of side lobes. As of now, there are two classes of methods and algorithms:

- full search algorithms (FSA) which allows us to guarantee identification of the optimal BS in a limited time. Herewith, a prerequisite condition is building of search tree of exponential type [10,15];
- metaheuristic algorithms that perform a controlled random search for possible solutions of the combinatorial optimization problem that are close to the optimal ones until a specific termination condition is fulfilled or after reaching a predetermined volume of computational operations [16–18].

Metaheuristic algorithms are much simpler to implement than full search algorithms but generally they do not guarantee the best solution.

In this sense, full search algorithms maintain the best solution, but have an exponential increase in complexity with increasing dimension l .

A number of publications have been devoted to the search for compromises and appropriate methods and algorithms [9,10,13,15,16,18–23]. Among them, the paper [10] proposes an approach that is based on the analysis of the structure of BS set using the theory of groups and algorithms such as “branch and bound”.

Besides, the essential cause for further research is the presence of operations for going over the options to find the optimal binary sequences search in the BS space when using the known methods and algorithms.

2. Formulation of the problem. Structural relations in a binary sequences set

During the process of exploring of the BS options within the formed subset, the above mentioned FSAs allows calculating the AACF parameters. Obviously, the acceptance or elimination of binary sequences occurs based on the calculation results for their LSL of the aperiodic autocorrelation function. From this fact, it follows that the known FSAs perform the analysis of “redundant” binary sequences, that are having an LSL with unacceptable value.

This circumstance creates motivation for research and development of alternative FSA type methods and algorithms, which on the one hand would provide a full search for optimal BS, and on the other hand reduce the dimension of the search space due to preliminary screening and eliminating of BS with improper LSL of the aperiodic autocorrelation function.

The purpose of this work is to develop a method and full search algorithm to find optimal skew-symmetric BS that would provide the efficiency estimation $0(\varphi^l)$ efficiency at $1 < \varphi < 1.63$.

The procedure for solving the formulated task involves the sequential implementation of the following steps:

- identifying subsets of BS according to certain criteria,
- describing the properties BS subsets,
- developing procedures to split the subset of skew-symmetric BS into classes,
- characterizing reflections of classes of skew-symmetric BS into the AACF subset,
- obtaining analytical expressions for the LSL interrelation functions A_k ,
- forming of FSA in the subset of BS of skew-symmetrical type.

It is known [1,11] that allomorphic transformations of BS leave unchanged LSL AACF with the same indices. The operators “addition” (denoted by C) and “inversion” (denoted by R) are allomorphic. If we add to them the operator “alternative addition” (denoted by Q), then their action in the aggregate and in different combinations leaves unchanged modules LSL AACF with the same indices.

The use of algebraic methods and, in particular, the group theory to analyze the BS sets, has been proposed in the works [10,24,25].

Adding the identity operator I , to operators C, R, Q and their combinations form a group G order 8 with group elements

$$G \in \{I, R, C, Q, RC, RQ, CQ, RCQ\}; \quad g^2 = I;$$

for all $g \in G$.

The group elements acting on the BS divide the set of all states of the BS into symmetric classes. It should be emphasized that the structure of division into classes depends on the type of BS. In total, the set of BS contains 2^l sequences that are divided into three subsets:

- symmetrical BSs that contain $2^{0.5(l+1)}$ BSs;
- skew-symmetrical BSs that contain $2^{0.5(l+1)}$ BSs;
- general BSs that contain $2^l - 2^{0.5(l+3)}$ BSs.

Next, we analyze the subset of skew-symmetric BSs. Action of operators C and R creates classes, each of which contains four BSs. Note that due to the properties of the structure of the BS

$$a_i = -a_{l-i+1}, \tag{3}$$

for i odd;

$$a_i = a_{l-i+1}, \tag{4}$$

for i even, and operator Q action forms the structure, which coincides with the action of the operator R . The total number of classes for skew-symmetric BSs is equal to $2^{0.5(l-3)}$. Each class is associated with AACF, which is the image of all BSs of this class, and the modules LSL AACF with the same indices for all BSs of this class are equal.

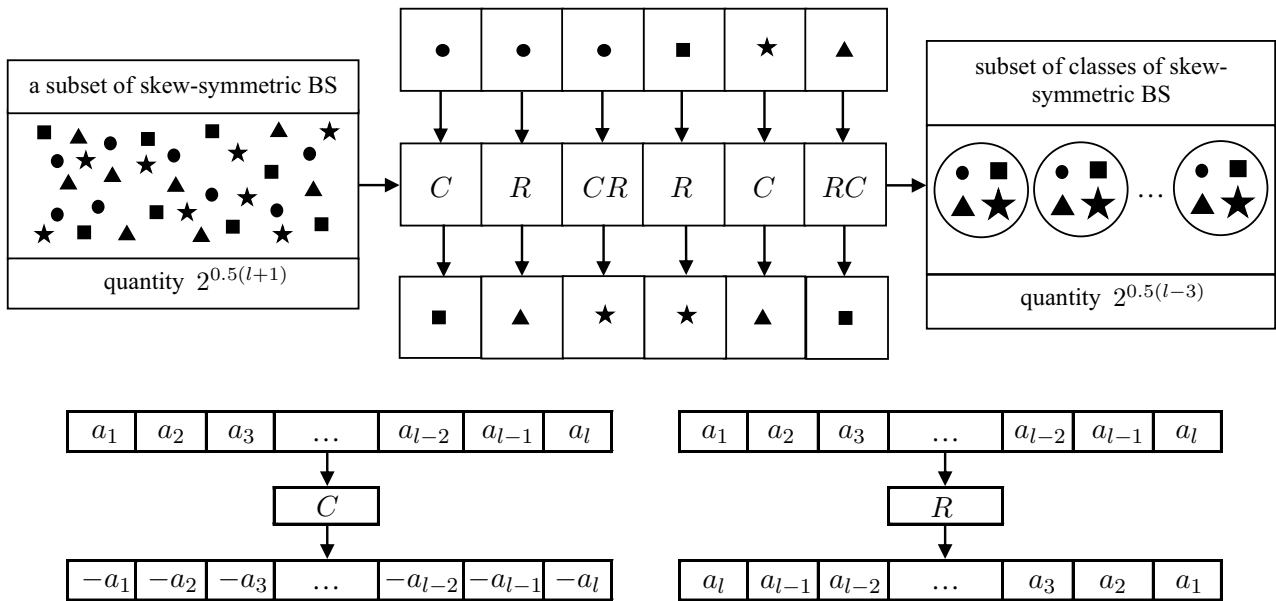


Fig. 1. Formation of classes of binary sequences in the subset of skew-symmetric binary sequences.

The scheme of classes formation for binary sequences in the subset of skew-symmetric binary sequences is depicted in Fig. 1. We add that due to the properties of the group G , that is presented in Fig. 1, the operators C and R may change places.

5. An example of the application of the method and algorithm for finding the optimal binary sequences

An example of the application of the method and algorithm for finding the optimal BS in the set AACF for $l = 11$.

1. The initial system of equations taking into account the conditions (5), (6)

$$A_1 = -1; \quad a_1 = a_2, \tag{9}$$

$$-a_1a_3 = 0.5(A_3 - 1), \tag{10}$$

$$-a_1a_5 + a_2a_4 = 0.5(A_5 + 1), \tag{11}$$

$$a_1a_5 + a_2a_6 - a_3a_5 = 0.5(A_7 - 1), \tag{12}$$

$$a_1a_3 + a_2a_4 + a_3a_5 + a_4a_6 = 0.5(A_9 + 1), \tag{13}$$

2. Analysis of transformation of the equations,

2.1. Equation (10). For further substitutions used $a_1a_3 = -0.5(A_3 - 1)$, and determining the set of valid values of LSL A_3 is carried out on the basis of the condition $|-0.5(A_3 - 1)| = 1$. The solution gives $A_3 \in \{-1; 3\}$; $A_3 \neq 1$. The result:

$$A_3 \in \{-1; 3\}; \quad a_1a_3 = -0.5(A_3 - 1). \tag{14}$$

2.2. Equation (11). There are two options for the right part:

2.2.1. $A_5 = -1; a_5 = a_4$

2.2.2. $A_5 + 1 \neq 0; a_2a_4 = 0.25(A_5 + 1); a_1a_5 = -0.25(A_5 + 1)$.

The search for a set of values A_5 is carried out on the basis of the condition $|0.25(A_5 + 1)| = 1$, and the solution is the set $A_5 \in \{-5; 3\}$. Note: a subset of values can be expanded as a result of consideration of the equations from s. 2.4 and taking into account (sub-s. 2.2.1). Result:

$$A_5 \in \{-5; 3\}; \quad a_2a_4 = 0.25(A_5 + 1); \quad a_1a_5 = -0.25(A_5 + 1). \tag{15}$$

2.3. Equation (12). The number of items in the left part is odd, therefore $A_7 \neq 1$. The item a_3a_5 is represented as a product

$$a_3a_5 = (a_1a_3)(a_1a_5) = -0.5(A_3 - 1)[-0.25(A_5 + 1)] = 0.125(A_3 - 1)(A_5 + 1).$$

Next equation (12) is presented in the next form: $a_2a_6 = 0.5(A_7 - 1) + 0.125(A_3 + 1)(A_5 + 1)$. Set of values A_7 is determined by the condition: $0.5(A_7 - 1) + 0.125(A_3 + 1)(A_5 + 1) = \pm 1$. Expression for LSL A_7 , as a function of LSL A_3 and A_5 is written as follows:

$$A_7 = \begin{pmatrix} +3 \\ -1 \end{pmatrix} - 0.25(A_3 + 1)(A_5 + 1). \tag{16}$$

Since (16) shows that A_7 is not an independent variable in AACF, the number of options for values A_3, A_5, A_7 is equal to the number of variants for values A_3, A_5 . Possible combinations of values A_3, A_5, A_7 are indicated in Table 1.

The obtained result:

$$a_2a_6 = 0.5(A_7 - 1) + 0.125(A_3 + 1)(A_5 + 1) \tag{17}$$

$$A_7 = \begin{pmatrix} +3 \\ -1 \end{pmatrix} - 0.25(A_3 + 1)(A_5 + 1).$$

Table 1. Possible combinations of values A_3, A_5, A_7 .

A_3	A_5	A_7	
-1	-5	3	-1
-1	3	3	-1
3	-5	7	3
3	3	-1	-5

2.4. Equation (13).

2.4.1. We will make replacements using the above expressions (14), (15), (16), (17) obtained above

$$-0.5(A_3 - 1) + 0.25(A_5 + 1) + 0.125(A_3 - 1)(A_5 + 1) + 0.25(A_5 + 1)[0.5(A_7 - 1) + 0.125(A_3 + 1)(A_5 + 1)].$$

The result of these substitutions will be two pairs of equations:

$$\begin{cases} -0.5(A_3 - 1) + 0.25(A_5 + 1) + 0.125(A_3 - 1)(A_5 + 1) + 0.25(A_5 + 1) = 0.5(A_9 + 1), \\ A_7 = 3 - 0.25(A_3 + 1)(A_5 + 1), \end{cases}$$

$$\begin{cases} -0.5(A_3 - 1) + 0.25(A_5 + 1) + 0.125(A_3 - 1)(A_5 + 1) = 0.5(A_9 + 1), \\ A_7 = -1 - 0.25(A_3 + 1)(A_5 + 1). \end{cases}$$

A series of elementary transformations leads these expressions to the next form

$$\begin{cases} A_9 = 0.25(A_3 + 3)(A_5 + 1) - A_3, \\ A_7 = 3 - 0.25(A_3 + 1)(A_5 + 1), \end{cases} \tag{18}$$

$$\begin{cases} A_9 = 0.25(A_3 + 3)(A_5 + 1) - A_3, \\ A_7 = 3 - 0.25(A_3 + 1)(A_5 + 1). \end{cases} \tag{19}$$

Table 2. Possible combinations of values A_3, A_5, A_7, A_9 (at $A_5 \neq 0$).

A_3^*	A_5^*	A_7	A_9	A_7	A_9
-1	-5	3	-1	-1	1
-1	3	3	3	-1	1
3	-5	7	9	3	-7
3	3	-1	-3	-5	1

*independent variables (within defined subsets) A_3, A_5 in the aperiodic autocorrelation function.

Expressions (18), (19) and subsets of values A_3, A_5, A_7, A_9 show that in the system of equations (8) there are two independent variables A_3, A_5 ; subsets of values A_3, A_5 , defined by the first three equations from (8); expressions for substitution in the equation with A_9 defined in the first five equations of (8).

The total number of AACF obtained from (18), (19) and subsets of independent variables A_3, A_5 is equal to 8. Each of these AACF sets four allomorphic BSs, so the total number of BSs from (18), (19) is equal to 32.

Substituting in (8) the numerical values of the found LSL AACF gives a solution in the form of BS, which is included in one of the classes of symmetry of the set of skew-symmetric BS. The other three BSs of this class are obtained by applying allomorphic operators to the found BS.

2.4.2. Equations (12) and (13). With condition sub-s. 2.2.1, $a_1a_5 + a_2a_6 - a_3a_5 = 0.5(A_7 - 1)$; $A_7 \neq 1$ and considering (1), (14), an equation (12) is presented in the form:

$$a_1a_5 [0.5(A_3 + 1) + a_4a_6] = 0.5(A_7 - 1).$$

Application of properties $|a_1a_5| = 1, |a_4a_6| = 1$ and a series of transformations gives a subset of expressions A_7 through A_3 : $A_7 = A_3 + 4; A_7 = A_3; A_7 = -A_3 - 2; A_7 = -A_3 + 2$.

Considering appropriate conditions to obtain expressions for A_7 , the expressions and values for $a_1a_3, a_2a_4, a_3a_5, a_4a_6$ are substituted in (13) and groups of formulas for calculating of LSL A_7, A_9 are derived as functions from A_3, A_5 .

$$\begin{cases} A_9 = -2A_3 + 5, \\ A_7 = A_3 + 4, \\ A_5 = -1, \end{cases} \begin{cases} A_9 = -1, \\ A_7 = A_3 - 2, \\ A_5 = -1, \end{cases} \begin{cases} A_9 = -2A_3 + 1, \\ A_7 = A_3, \\ A_5 = -1, \end{cases} \begin{cases} A_9 = -3, \\ A_7 = -A_3 + 2, \\ A_5 = -1. \end{cases} \tag{20}$$

Since $A_3 \in \{-1; 3\}$, then each of the resulting expression systems for A_5, A_7, A_9 gives two variants of AACF. In total, these are 8 variants of AACF, which are classes of symmetry of skew-symmetrical BS and are shown in Table 3. Together with the AACF, that are described in sub-s. 2.4.1., we obtain

16 such classes. Each of these classes generates four skew-symmetric binary sequences from system (8). Their total number is 64. This value coincides with the estimation of the number of skew-symmetrical BSs that are given in Part 2: $2^6 = 64$. This fact confirms that the proposed method and algorithm give the full set of possible values of skew-symmetric BSs and, accordingly, provide a comprehensive search for optimal skew-symmetric BSs. In addition, an important advantage of the proposed method is the possibility for screening and eliminating of unacceptable values at each successive step A_k , for example, using the criterion $A_k < \sqrt{l}$. It allows significantly reducing the number of search options (in general, the degree of this decreasing is determined by the value of the upper limit $A_{\text{limit}} \rightarrow A_k < A_{\text{limit}}$).

Table 3. Possible combinations of values A_3, A_5, A_7, A_9 (at $A_5 = 0$).

A_3^*	A_5^*	A_7	A_9	A_7	A_9	A_7	A_9	A_7	A_9
-1	-1	3	7	-1	-1	-1	3	3	-3
3	-1	7	-1	-5	-1	3	-5	-1	-3

*independent variables (within defined subsets) A_3, A_5 .

The search for BSs is as follows (an example is given for one of the eight variants of AACF). $A_3 = 3$; $A_5 = -1$; $A_7 = 3$; $A_9 = -5$

$$\begin{cases} -a_1a_3 = 1, \\ -a_1a_5 + a_2a_4 = 0, \\ a_1a_5 + a_2a_6 - a_3a_5 = 1, \\ a_1a_3 + a_2a_4 + a_3a_5 + a_4a_6 = -2. \end{cases}$$

Solving the above system gives one BS from which three more BSs of the found symmetry class are formed according to Fig. 2.

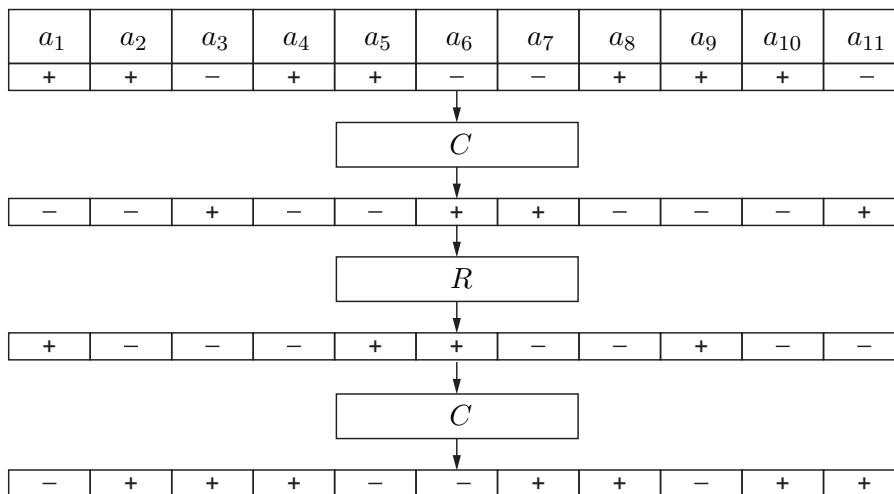


Fig. 2. Formation of binary sequences with aperiodic autocorrelation function.

6. Conclusion

In the paper, there are introduced the method and the algorithm to perform the full search for the optimal binary skew-symmetric sequences with odd dimension l using a criterion of minimum side lobes of the aperiodic autocorrelation function. The proposed method is based on performing two consecutive operations: optimizing in the space of dimension $L < 0.5(l - 5)$ of the objective functions with respect to the levels of side lobes of the aperiodic autocorrelation function; solving of the equation system, which specifies the aperiodic autocorrelation function. The right sides of the equation system present the levels of the side lobes that are obtained as the result of completing the first operation.

The advantage of the proposed method and algorithm is the possibility of further reducing the dimension $0.5(l - 5)$ search space by limiting the upper limit of the levels of side lobes of the aperiodic autocorrelation function with value \sqrt{l} .

The suggested methodology is formed on the results of the analysis of the structure of sets of binary sequences; finding correlations between the structure components using the methods of group theory; establishing analytical forms that define the functional relationships between the levels of side lobes of aperiodic autocorrelation function. The article presents an example of application and results of modeling of the proposed algorithm to identify the optimal binary sequences.

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Оптимальний пошук двійкових кососиметричних послідовностей з мінімальними рівнями бічних пелюстків

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Сигнально-кодові конструкції з модуляційними двійковими послідовностями широко застосовується в багатоканальних радіосистемах зв'язку, радіолокації та інших системах інформаційного напрямку. Серед зазначених послідовностей особливо виділяються ті, які забезпечують мінімальні рівні бічних пелюстків аперіодичної автокореляційної функції і, відповідно, необхідну скритність, завадостійкість, роздільну здатність та низку інших важливих параметрів. У цій роботі розглядається задача повного пошуку оптимальної, за критерієм мінімуму бічних пелюстків, аперіодичної автокореляційної функції двійкових кососиметричних послідовностей непарної розмірності l . Поставлену задачу розв'язано на основі альтернативного підходу та методу, суть якого полягає у проведенні двох послідовних операцій: оптимізації в просторі розмірності $L < 0.5(l - 5)$ цільових функцій від рівнів бічних пелюстків аперіодичної автокореляційної функції та розв'язування системи рівнянь, яка задає зазначену аперіодичну автокореляційну функцію. При цьому правими частинами системи рівнянь є рівні бічних пелюстків, знайдені за результатом виконання першої операції. Засади, які покладені в основу запропонованого методу: аналіз структури множин двійкових послідовностей; визначення співвідношень між складовими частинами структури зі застосуванням методів теорії груп; аналітичні вирази, які визначають функціональні взаємозв'язки між рівнями бічних пелюстків аперіодичної автокореляційної функції. Наведено приклад застосування та результати моделювання запропонованого алгоритму пошуку оптимальних двійкових послідовностей.

Ключові слова: двійкова послідовність, аперіодична автокореляційна функція, рівні бічних пелюстків, повний пошук, завадостійкі радіосистеми.